

PATENT  
450100-4072.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kanji MIHARA et al.  
Serial No. : Continuation of Serial No. 08/909,448  
Filed : March 20, 2001  
For : VIDEO DATA COMPRESSION APPARATUS AND METHOD OF  
SAME

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on November 7, 2001

William S. Frommer, Reg. No. 25,506  
Name of Applicant, Assignee or  
Registered Representative

  
Signature

November 7, 2001  
Date of Signature

REQUEST FOR ASSIGNMENT OF A SERIAL NUMBER  
AND THE FILING DATE OF MARCH 20, 2001 TO  
A CONTINUATION APPLICATION

Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-referenced Continuation Application was  
filed on March 20, 2001 via Express Mail. The Patent Application  
Transmittal letter clearly identified the application as  
"Continuation of prior application Serial No. 08/909,448, filed  
August 11, 1997." The application papers also included Check No.  
14730 in the amount of \$1,362.00, being the application fee. The

PATENT  
450100-4072.1

subject check was cashed by the PTO as evidenced by a copy of the front and back of the canceled check which is enclosed.


The Patent and Trademark Office acknowledged receipt of the above application on the postcard return receipt and date-stamped the postcard "Mar 20, 2001". A copy of this postcard is enclosed herein. However, no Serial Number was stamped on this postcard.

Another copy of the application papers "as filed on March 20, 2001" is provided herewith.

It is respectfully requested that this Continuation Application of Serial Number 08/909,448 be assigned a Serial Number of its own and a Filing Date of March 20, 2001. The issuance of a Filing Receipt is also respectfully requested.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
William S. Frommer  
Reg. No. 25,506  
Tel. (212) 588-0800

FLH No. 450100-4072.1

FROMMER LAWRENCE & HAUG LLP  
TELECOPY COVER LETTER

PLEASE DELIVER TO DELORA DILLARD ASAP

March 20, 2002

TO:

Ms. Dillard  
Customer Service Manager  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Telecopier: 703-305-9822

FROM:

William S. Frommer  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151

Telecopier: 212-588-0500

4 page(s) including cover letter

RE:

Serial No. 10/045,576

Dear Ms. Dillard: Please find a copy of the postcard return receipt date stamped March 20, 2001, the date when the above application was filed. Since there was no Serial Number assigned to this application on 1/15/02 we sent all the papers back to PTO asking it to assign a serial number to application filed on 3/20/01. PTO assigned a Serial No. but gave us the filing date as 1/15/02. Please issue a corrected Filing Receipt on the above serial number with a filing date of 3/20/01. Also please vacate the Notice to File Missing Parts on this Serial Number because PTO cashed our check for \$740.00 that was sent on 3/20/01. Copy of the canceled check was provided to PTO on 1/15/02. Thank you for your attention to this matter. Please call me at the telephone below for any further documents needed to put this application in good standing.

I certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

*Laila Korde*  
Laila Korde

Signature

March 20, 2002

Date of Signature

IF YOU DO NOT RECEIVE EVERY PAGE OR ARE UNABLE TO READ  
THE TRANSMISSION, PLEASE CALL (212) 863-2011 IMMEDIATELY AND  
ASK FOR LAILA KORDE

Via Express Mail #

588274901US on: 3/20/02

Copy of  
Postcard

Cont'n of 08909, 448  
Serial No. 08909, 448

Title in the Matter of the Application of the [illegible]

Recomm

App

Copy of  
Postcard that  
accompanied  
Application mailed  
on 3/20/01

Via Express Mail # EL588274907US on: 3/20/01

Cont'n 2 088909, 448 File No. 450100-4072-1 By DC

Title In the Matter of the Application of Karl Lihava et al.

The following due \_\_\_\_\_ in the U.S. Patent Office, was received in the Patent Office

<input type="checkbox"/> Affidavit	<input checked="" type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Express Mail Mailing Certificate
<input type="checkbox"/> Amendment	(copy)	<input type="checkbox"/> (separate sheet)
<input checked="" type="checkbox"/> Preliminary Amendment		<input checked="" type="checkbox"/> Check No. <u>14730</u> for \$ <u>1,300.00</u>
<input type="checkbox"/> Amendment After Final Rejection		<input type="checkbox"/> Deposit Account Order Form
<input type="checkbox"/> Request for Extension of Time		<input checked="" type="checkbox"/> Drawing <u>16</u> Sheet(s) (copy) - New
<input type="checkbox"/> Provisional Patent Application		<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Application for Patent, including		<input checked="" type="checkbox"/> PTO Form 1449
<input checked="" type="checkbox"/> 62 Pages Specifications <u>34</u> Claims		<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Oath <input checked="" type="checkbox"/> Power		<input type="checkbox"/> Brief <input type="checkbox"/> Letter
<input checked="" type="checkbox"/> Request for Filing Continuation or Divisional		<input type="checkbox"/> Application for TM Registration
Application <u>2</u> sheets, in duplicate		Including _____ Specimens
<input type="checkbox"/> File Wrapper Continuation Patent Application		<input type="checkbox"/> Status Request <input type="checkbox"/> Notice of Appeal
_____ sheets, in duplicate		<input type="checkbox"/> Petition <input type="checkbox"/> Response
<input type="checkbox"/> PCT Request _____ sheets, including		<input type="checkbox"/> Priority Document
<input type="checkbox"/> Transmittal Letter to the US/RO		<input type="checkbox"/> Small Entity Declaration
<input type="checkbox"/> Assignment <input type="checkbox"/> Recitation Cover Sheet		<input checked="" type="checkbox"/> Request for Approval of

making changes a copy  
q 20.5

# POSTCARD RETURN - RECEIPT

Via Express Mail # EL588274907US on: 3/20/01

Cont'n 2 088909, 448 File No. 450100-4072-1 By DC

Title In the Matter of the Application of Karl Lihava et al.

The following due \_\_\_\_\_ in the U.S. Patent Office, was received in the Patent Office

<input type="checkbox"/> Affidavit	<input checked="" type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Express Mail Mailing Certificate
<input type="checkbox"/> Amendment	(copy)	<input type="checkbox"/> (separate sheet)
<input checked="" type="checkbox"/> Preliminary Amendment		<input checked="" type="checkbox"/> Check No. <u>14730</u> for \$ <u>1,300.00</u>
<input type="checkbox"/> Amendment After Final Rejection		<input type="checkbox"/> Deposit Account Order Form
<input type="checkbox"/> Request for Extension of Time		<input checked="" type="checkbox"/> Drawing <u>16</u> Sheet(s) (copy) - New
<input type="checkbox"/> Provisional Patent Application		<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Application for Patent, including		<input checked="" type="checkbox"/> PTO Form 1449
<input checked="" type="checkbox"/> 62 Pages Specifications <u>34</u> Claims		<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Oath <input checked="" type="checkbox"/> Power		<input type="checkbox"/> Brief <input type="checkbox"/> Letter
<input checked="" type="checkbox"/> Request for Filing Continuation or Divisional		<input type="checkbox"/> Application for TM Registration
Application <u>2</u> sheets, in duplicate		Including _____ Specimens
<input type="checkbox"/> File Wrapper Continuation Patent Application		<input type="checkbox"/> Status Request <input type="checkbox"/> Notice of Appeal
_____ sheets, in duplicate		<input type="checkbox"/> Petition <input type="checkbox"/> Response
<input type="checkbox"/> PCT Request _____ sheets, including		<input type="checkbox"/> Priority Document
<input type="checkbox"/> Transmittal Letter to the US/RO		<input type="checkbox"/> Small Entity Declaration
<input type="checkbox"/> Assignment <input type="checkbox"/> Recitation Cover Sheet		<input checked="" type="checkbox"/> Request for Approval of

making changes a copy  
q 20.5

No SERIAL NUMBER  
ASSIGNED TO THIS CONTINUATION  
Filed "MAR 20, 2001"



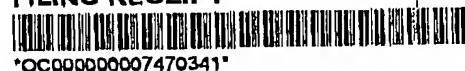
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOVshould be  
↓

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/045,576	01/15/2002 3/20/01	2631	0.00	450100- 4072.1	16	32	6

CONFIRMATION NO. 8510

## FILING RECEIPT



\*0000000007470341\*

FROMMER, LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

DOCKETED

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kanji Mihara, Kanagawa, JAPAN;  
Takuya Kitamura, Kanagawa, JAPAN;  
Takao Suzuki, Kanagawa, JAPAN;

## Assignment For Published Patent Application

SONY CORPORATION;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/909,448 08/11/1997 PAT 6,337,879

## Foreign Applications

JAPAN 08-214707 08/14/1996

JAPAN 08-229651 08/30/1996

FILED  
MAR 19 2002  
USPTO  
HALL

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20531  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,576	01/15/2002	Kanji Mihara	450100-4072.1

CONFIRMATION NO. 0510

## FORMALITIES LETTER



\*OC00000007470342\*

FROMMER, LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

PLEASE VACATE THIS NOTICE.

Check No. 14730 for 740.00 cashed by PTO  
ON 3/26/01

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$468.
  - \$216 for 12 total claims over 20.
  - \$252 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1338.

*A copy of this notice **MUST** be returned with the reply.*

M-MAIL

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED